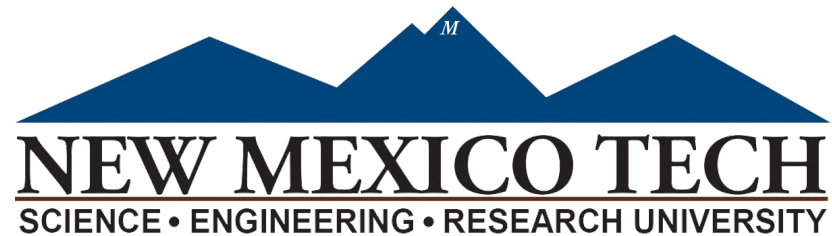


CONFLICTS OF INTEREST TRAINING

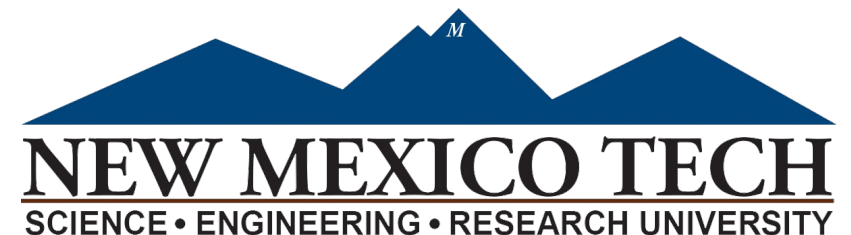
OFFICE OF RESEARCH COMPLIANCE

September 6, 2023



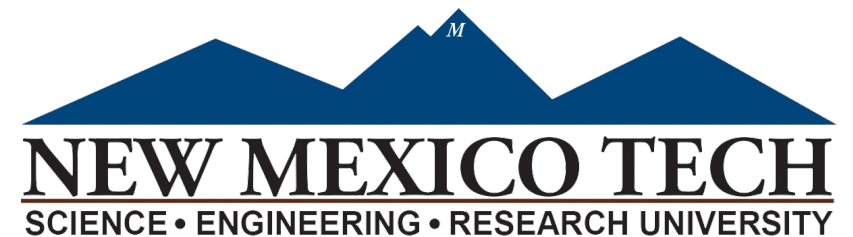
NMT Conflicts of Interest in Research Policy

- Purpose: “to protect the integrity, trust and respect of New Mexico Tech, its academic community and its research activities.”
- [The policy] “is designed to inform investigators of their disclosure responsibilities, provide an efficient method for making disclosures, and facilitate effective identification and management of conflicts of interest.”



NMT Conflicts of Interest in Research Policy

- Most conflicts can be successfully resolved without impeding research activities.
- Conflicts of interest are inevitable in modern research universities and do not imply any impropriety on the part of the investigator. A conflict of interest may exist despite the highest standards of conduct and candor.
- Disclosing the required information at the earliest possible time will afford the best protection of an investigators interests.



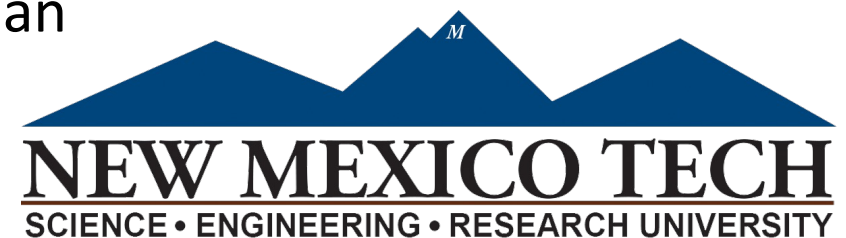
Conflict of Interest Definition

Conflict of interest means a situation associated with an investigator's participation in NMT research where it reasonably appears, on an actual or potential basis, that:

1. The investigator's significant financial interest could directly and significantly affect the design, conduct or reporting of NMT research activities; or
2. The investigator's situation could directly and significantly compromise their professional commitments or allegiance to NMT.

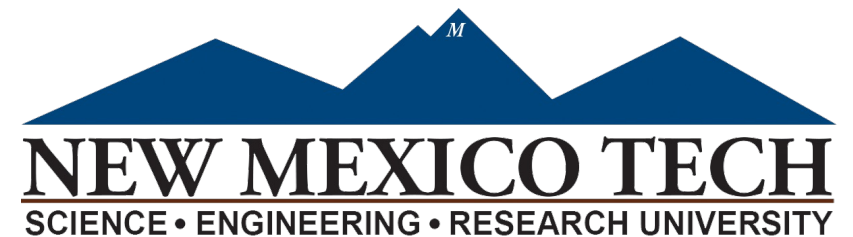
Examples of Conflicts of Interest

- Accepting gratuities or special favors from companies doing business or sponsoring one's research at the University.
- Accepting over scale honoraria for lectures at companies whose economic or political interests are affected by an investigator's research.
- Performing evaluative research for a company in which the investigator has a financial interest.
- Negotiating on behalf of the University for the purchase of materials from a company in which you have a financial interest.
- Holding office or membership on a board or committee of an entity supporting your University research.
- Holding equity interests, including stock options, in an entity which supports your University research.



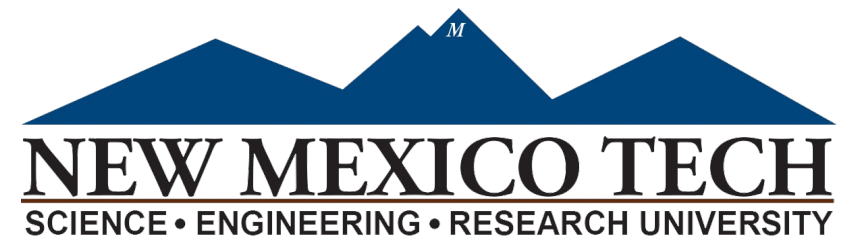
Investigator

Investigator means the principal investigator, the co-principal investigator and any other person (including faculty, staff and students) who is responsible for the design, conduct or reporting of NMT research. Any individual responsible for a task that could have a significant effect on the research design, conduct or Reporting is considered to be an investigator, even if the individual does not have sole or primary responsibility for the task or the research.



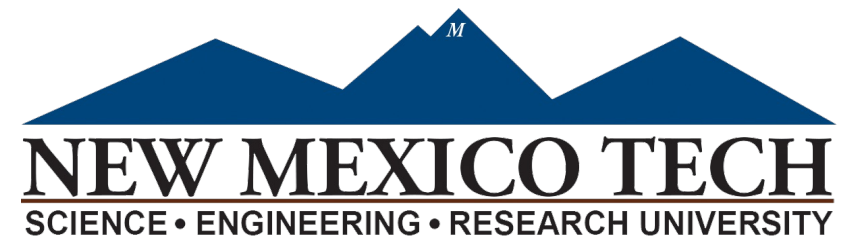
Institutional Responsibilities

- Develop and implement a Conflict of Interest Policy, (COI). Form Committee.
- Educate and train NMT employees and students about their responsibilities under the NMT COI Policy.
- Collect and screen Disclosure Forms for COI's. Refer COI's to Committee.
- Maintain confidentiality of personal information.
- Enforce the COI Policy and any Management Plan, implementing sanctions when appropriate.
- Comply with the research sponsor's requirements for reporting conflicts of interest
- Maintain records as required.



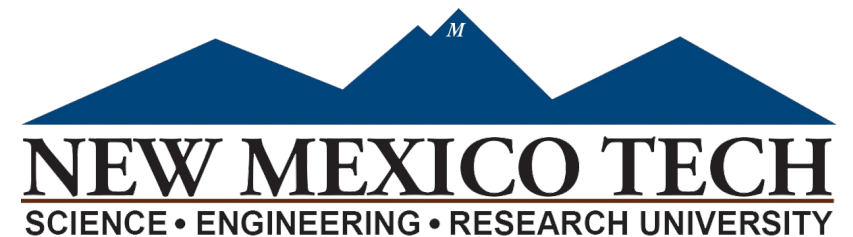
Committee Responsibilities

- The Committee may be a resource for general information.
- Provide screening guidelines for compliance personnel.
- Accept referrals.
- May develop guidelines for analysis of COI's.
- Identify and analyze conflicts.
- Develop management/mitigation plan with individual investigators, and their PI if possible.
- Determine conflict resolution.



Investigator Responsibilities

- Comply with all Disclosure Requirements, initial and ongoing.
When a proposal is submitted to Sponsored Projects Administration
Annually, during the first month of the Fall academic year.
Within 30 days of any change
- Cooperate with any investigation.
- Comply with Management and/or Mitigation plans.



Research Ethics @NMT: Conflicts of Interest

What are the risks of sponsorship bias?

Must researchers avoid all conflicts of interest?

This workshop examines the well-documented phenomenon of sponsorship bias and the ethical issues raised by financial conflicts of interest. Facilitated by Professor of Ethics Chris ChoGlueck (CLASS), this interactive lecture features small-group discussions and case studies on managing the negative influences of money on research. It is free and open to all members of the broader NMT community.

What: “Conflicts of Interest & Sponsorship Bias”

When: Thursday October 19, 12:30 to 2:00 pm

Where: MSEC 103



Thank You!

